

Contents

Paper - 6 _____ Securities Laws and Capital Market

Chapter Name		Page No.
⇒	Study Material Based Contents	6.3
⇒	Syllabus	6.5
⇒	Examination Trend Analysis	6.8
⇒	Line Chart Showing Relative Importance of Chapters	6.16
⇒	Table Showing Importance of Chapter on the Basis of Marks	6.17
⇒	Formula Table	6.18
1.	Securities Contracts (Regulation) Act, 1956	6.19
2.	Securities and Exchange Board of India Act, 1992 .	6.44
3.	Depositories Act, 1996	6.70
4.	An Overview of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018	6.98
5.	An Overview of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	6.159
6.	An Overview of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011	6.204
7.	SEBI (Buy-Back of Securities) Regulations, 2018	6.263
8.	SEBI (Delisting of Equity Shares) Regulations, 2009	6.293
9	SEBI (Share Based Employee Benefits) Regulations, 2014 An Overview	6.329
10.	SEBI (Issue of Sweat Equity) Regulations, 2002 - An Overview	6.347
11.	SEBI (Prohibition of Insider Trading) Regulations, 2015	6.357
12.	Mutual Funds	6.416
13.	Collective Investment Schemes	6.460
14.	SEBI (Ombudsman) Regulations, 2003	6.481

15A.	Structure of Capital Market: Primary Market	6.507
15B.	Structure of Capital Market: Secondary Market	6.603
16.	Securities Market Intermediaries	6.643
→	Glossary of Important Terms	6.689
→	List of Regulations	6.702
→	Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) (Fourth Amendment) Regulations, 2019	6.705
→	(Seventh Amendment) Regulations, 2019 (January 1 2020)	6.710
→	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) (Second Amendment) Regulations, 2021	6.720
→	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) (Third Amendment) Regulations, 2021	6.732
→	Securities and Exchange Board of India (Foreign Portfolio Investors) (Amendment) Regulations, 2021	6.737
→	Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) (Amendment) Regulations, 2021	6.738
→	Securities and Exchange Board of India (Portfolio Managers) (Third Amendment) Regulations, 2021	6.740
→	Question Paper of December, 2020	6.742
→	Question Paper of August, 2021	6.749
→	Test Series	6.757